SAFETY DATA SHEET
Capa™ 3301

Section 1. Identification

GHS product identifier : Capa™ 3301
Other means of identification : Not available.
Manufacturer : Ingevity UK Ltd
Baronet Road
Warrington
Cheshire WA4 6HA
United Kingdom
Tel. +44 (0) 1925 591111
www.ingevity.com

In case of emergency : +1 800 424 9300 (USA) CHEMTREC

Section 2. Hazards identification

OSHA/HCS status : While this material is not considered hazardous by the OSHA Hazard Communication Standard (29 CFR 1910.1200), this SDS contains valuable information critical to the safe handling and proper use of the product. This SDS should be retained and available for employees and other users of this product.

Classification of the substance or mixture : Not classified.

GHS label elements

Signal word : No signal word.
Hazard statements : No known significant effects or critical hazards.
Precautionary statements

General : Read label before use. Keep out of reach of children. If medical advice is needed, have product container or label at hand.

Prevention : Not applicable.
Response : Not applicable.
Storage : Not applicable.
Disposal : Not applicable.

Hazards not otherwise classified : None known.

Section 3. Composition/information on ingredients

Substance/mixture : Mixture

<table>
<thead>
<tr>
<th>Ingredient name</th>
<th>%</th>
<th>CAS number</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Oxepanone, polymer with 2-ethyl-2-(hydroxymethyl)-1,3-propanediol</td>
<td>≥90</td>
<td>37625-56-2</td>
</tr>
</tbody>
</table>

Any concentration shown as a range is to protect confidentiality or is due to batch variation.

There are no additional ingredients present which, within the current knowledge of the supplier and in the concentrations applicable, are classified as hazardous to health and hence require reporting in this section.

Occupational exposure limits, if available, are listed in Section 8.

Validated on 5/13/2019. Version: 1
Section 4. First aid measures

**Description of necessary first aid measures**

**Eye contact**
- Immediately flush eyes with plenty of water, occasionally lifting the upper and lower eyelids. Check for and remove any contact lenses. Get medical attention if irritation occurs.

**Inhalation**
- Remove victim to fresh air and keep at rest in a position comfortable for breathing. Get medical attention if symptoms occur.

**Skin contact**
- Wash contaminated skin with soap and water. Remove contaminated clothing and shoes. Get medical attention if symptoms occur.

**Ingestion**
- Wash out mouth with water. Remove victim to fresh air and keep at rest in a position comfortable for breathing. If material has been swallowed and the exposed person is conscious, give small quantities of water to drink. Do not induce vomiting unless directed to do so by medical personnel. Get medical attention if symptoms occur.

**Notes to physician**
- Treat symptomatically. Contact poison treatment specialist immediately if large quantities have been ingested or inhaled.

**Over-exposure signs/symptoms**
- **Eye contact**: No specific data.
- **Inhalation**: No specific data.
- **Skin contact**: No specific data.
- **Ingestion**: No specific data.

**Indication of immediate medical attention and special treatment needed, if necessary**
- **Notes to physician**: No specific treatment.
- **Protection of first-aiders**: No action shall be taken involving any personal risk or without suitable training.

**See toxicological information (Section 11)**

Section 5. Fire-fighting measures

**Extinguishing media**
- **Suitable extinguishing media**: Use an extinguishing agent suitable for the surrounding fire.
- **Unsuitable extinguishing media**: None known.

**Specific hazards arising from the chemical**
- **Hazardous thermal decomposition products**: Decomposition products may include the following materials: carbon dioxide, carbon monoxide.

**Special protective actions for fire-fighters**
- Promptly isolate the scene by removing all persons from the vicinity of the incident if there is a fire. No action shall be taken involving any personal risk or without suitable training.
Section 5. Fire-fighting measures

Special protective equipment for fire-fighters: Fire-fighters should wear appropriate protective equipment and self-contained breathing apparatus (SCBA) with a full face-piece operated in positive pressure mode.

Section 6. Accidental release measures

Personal precautions, protective equipment and emergency procedures

For non-emergency personnel: No action shall be taken involving any personal risk or without suitable training. Evacuate surrounding areas. Keep unnecessary and unprotected personnel from entering. Do not touch or walk through spilled material. Put on appropriate personal protective equipment.

For emergency responders: If specialized clothing is required to deal with the spillage, take note of any information in Section 8 on suitable and unsuitable materials. See also the information in "For non-emergency personnel".

Environmental precautions: Avoid dispersal of spilled material and runoff and contact with soil, waterways, drains and sewers. Inform the relevant authorities if the product has caused environmental pollution (sewers, waterways, soil or air).

Methods and materials for containment and cleaning up

Small spill: Move containers from spill area. Vacuum or sweep up material and place in a designated, labeled waste container. Dispose of via a licensed waste disposal contractor.

Large spill: Move containers from spill area. Prevent entry into sewers, water courses, basements or confined areas. Vacuum or sweep up material and place in a designated, labeled waste container. Dispose of via a licensed waste disposal contractor. Note: see Section 1 for emergency contact information and Section 13 for waste disposal.

Section 7. Handling and storage

Precautions for safe handling

Protective measures: Put on appropriate personal protective equipment (see Section 8).

Advice on general occupational hygiene: Eating, drinking and smoking should be prohibited in areas where this material is handled, stored and processed. Workers should wash hands and face before eating, drinking and smoking. Remove contaminated clothing and protective equipment before entering eating areas. See also Section 8 for additional information on hygiene measures.

Conditions for safe storage, including any incompatibilities: Store in accordance with local regulations. Store in original container protected from direct sunlight in a dry, cool and well-ventilated area, away from incompatible materials (see Section 10) and food and drink. Keep container tightly closed and sealed until ready for use. Containers that have been opened must be carefully resealed and kept upright to prevent leakage. Do not store in unlabeled containers. Use appropriate containment to avoid environmental contamination. See Section 10 for incompatible materials before handling or use.

Section 8. Exposure controls/personal protection

Control parameters

Occupational exposure limits: None.

Appropriate engineering controls: Good general ventilation should be sufficient to control worker exposure to airborne contaminants.
Section 8. Exposure controls/personal protection

Environmental exposure controls: Emissions from ventilation or work process equipment should be checked to ensure they comply with the requirements of environmental protection legislation. In some cases, fume scrubbers, filters or engineering modifications to the process equipment will be necessary to reduce emissions to acceptable levels.

Individual protection measures

Hygiene measures: Wash hands, forearms and face thoroughly after handling chemical products, before eating, smoking and using the lavatory and at the end of the working period. Appropriate techniques should be used to remove potentially contaminated clothing. Wash contaminated clothing before reusing. Ensure that eyewash stations and safety showers are close to the workstation location.

Eye/face protection: Safety eyewear complying with an approved standard should be used when a risk assessment indicates this is necessary to avoid exposure to liquid splashes, mists, gases or dusts. If contact is possible, the following protection should be worn, unless the assessment indicates a higher degree of protection: safety glasses with side-shields.

Skin protection

Hand protection: Chemical-resistant, impervious gloves complying with an approved standard should be worn at all times when handling chemical products if a risk assessment indicates this is necessary.

Body protection: Personal protective equipment for the body should be selected based on the task being performed and the risks involved and should be approved by a specialist before handling this product.

Other skin protection: Appropriate footwear and any additional skin protection measures should be selected based on the task being performed and the risks involved and should be approved by a specialist before handling this product.

Respiratory protection: Based on the hazard and potential for exposure, select a respirator that meets the appropriate standard or certification. Respirators must be used according to a respiratory protection program to ensure proper fitting, training, and other important aspects of use.

Section 9. Physical and chemical properties

Appearance

Physical state: Solid.
Color: White.
Odor: Odorless.
Odor threshold: Not available.
pH: Not available.
Melting point: 40 to 50°C (104 to 122°F)
Boiling point: Not available.
Flash point: Closed cup: 240°C (464°F)
 Burning time: Not available.
 Burning rate: Not available.
Evaporation rate: Not available.
Flammability (solid, gas): Not available.
Lower and upper explosive (flammable) limits: Not available.
Vapor pressure: Not available.
Vapor density: Not available.
Relative density: Not available.
Solubility: Not available.
Solubility in water: 0 g/l
Section 9. Physical and chemical properties

- Partition coefficient: n-octanol/water: Not available.
- Auto-ignition temperature: Not available.
- Decomposition temperature: >200°C (>392°F)
- SADT: Not available.
- Viscosity: Not available.

Section 10. Stability and reactivity

- Reactivity: No specific test data related to reactivity available for this product or its ingredients.
- Chemical stability: The product is stable.
- Possibility of hazardous reactions: Under normal conditions of storage and use, hazardous reactions will not occur.
- Conditions to avoid: No specific data.
- Incompatible materials: No specific data.
- Hazardous decomposition products: Under normal conditions of storage and use, hazardous decomposition products should not be produced.

Section 11. Toxicological information

Information on toxicological effects

Acute toxicity

<table>
<thead>
<tr>
<th>Product/ingredient name</th>
<th>Result</th>
<th>Species</th>
<th>Dose</th>
<th>Exposure</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Oxepanone, polymer with 2-ethyl-2-(hydroxymethyl)-1,3-propanediol</td>
<td>LD50 Dermal</td>
<td>Rat</td>
<td>6400 mg/kg</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>LD50 Oral</td>
<td>Rat</td>
<td>&gt;5000 mg/kg</td>
<td>-</td>
</tr>
</tbody>
</table>

Irritation/Corrosion
Not available.

Sensitization
Not available.

Mutagenicity

<table>
<thead>
<tr>
<th>Product/ingredient name</th>
<th>Test</th>
<th>Experiment</th>
<th>Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Oxepanone, polymer with 2-ethyl-2-(hydroxymethyl)-1,3-propanediol</td>
<td>OECD 471 Bacterial Reverse Mutation Test</td>
<td>Experiment: In vitro Subject: Bacteria</td>
<td>Negative</td>
</tr>
<tr>
<td></td>
<td>OECD 473 In vitro Mammalian Chromosomal Aberration Test</td>
<td>Experiment: In vitro Subject: Mammalian-Animal</td>
<td>Negative</td>
</tr>
</tbody>
</table>

Carcinogenicity
Not available.

Reproductive toxicity

Validated on 5/13/2019. Version: 1
# Section 11. Toxicological information

<table>
<thead>
<tr>
<th>Product/ingredient name</th>
<th>Maternal toxicity</th>
<th>Fertility</th>
<th>Development toxin</th>
<th>Species</th>
<th>Dose</th>
<th>Exposure</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Oxepanone, polymer with 2-ethyl-2-(hydroxymethyl)-1,3-propanediol</td>
<td>-</td>
<td>-</td>
<td>Negative</td>
<td>Rat</td>
<td>Oral: 250 mg/kg bw/day</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>-</td>
<td>Negative</td>
<td>-</td>
<td>Rat</td>
<td>Oral: 800 mg/kg bw/day</td>
<td>-</td>
</tr>
</tbody>
</table>

**Teratogenicity**
Not available.

**Specific target organ toxicity (single exposure)**
Not available.

**Specific target organ toxicity (repeated exposure)**
Not available.

**Aspiration hazard**
Not available.

**Information on the likely routes of exposure**

<table>
<thead>
<tr>
<th>Routes of exposure</th>
<th>Maternal toxicity</th>
<th>Fertility</th>
<th>Development toxin</th>
<th>Species</th>
<th>Dose</th>
<th>Exposure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inhalation</td>
<td>No known significant effects or critical hazards.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ingestion</td>
<td>No known significant effects or critical hazards.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Skin contact</td>
<td>No known significant effects or critical hazards.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eye contact</td>
<td>No known significant effects or critical hazards.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Potential acute health effects**

**Symptoms related to the physical, chemical and toxicological characteristics**

<table>
<thead>
<tr>
<th>Routes of exposure</th>
<th>Maternal toxicity</th>
<th>Fertility</th>
<th>Development toxin</th>
<th>Species</th>
<th>Dose</th>
<th>Exposure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inhalation</td>
<td>No specific data.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ingestion</td>
<td>No specific data.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Skin contact</td>
<td>No specific data.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eye contact</td>
<td>No specific data.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Potential chronic health effects**
Not available.

**General**
No known significant effects or critical hazards.

**Carcinogenicity**
No known significant effects or critical hazards.

**Mutagenicity**
No known significant effects or critical hazards.

**Teratogenicity**
No known significant effects or critical hazards.
Section 11. Toxicological information

Developmental effects: No known significant effects or critical hazards.
Fertility effects: No known significant effects or critical hazards.

Numerical measures of toxicity

Acute toxicity estimates
Not available.

Section 12. Ecological information

Toxicity

<table>
<thead>
<tr>
<th>Product/ingredient name</th>
<th>Result</th>
<th>Species</th>
<th>Exposure</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Oxepanone, polymer with 2-ethyl-2-(hydroxymethyl)-1, 3-propanediol</td>
<td>Acute EC50 490 mg/l Fresh water</td>
<td>Algae - Pseudokirchneriella subcapitata</td>
<td>72 hours</td>
</tr>
<tr>
<td></td>
<td>Acute EC50 204 mg/l</td>
<td>Daphnia</td>
<td>48 hours</td>
</tr>
<tr>
<td></td>
<td>Acute LC50 150 mg/l Fresh water</td>
<td>Fish - Brachydanio rerio</td>
<td>96 hours</td>
</tr>
</tbody>
</table>

Persistence and degradability

<table>
<thead>
<tr>
<th>Product/ingredient name</th>
<th>Test</th>
<th>Result</th>
<th>Dose</th>
<th>Inoculum</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Oxepanone, polymer with 2-ethyl-2-(hydroxymethyl)-1, 3-propanediol</td>
<td>OECD 301B Ready Biodegradability - CO₂ Evolution Test</td>
<td>77 % - Readily - 28 days</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Bioaccumulative potential

<table>
<thead>
<tr>
<th>Product/ingredient name</th>
<th>LogP&lt;sub&gt;ow&lt;/sub&gt;</th>
<th>BCF</th>
<th>Potential</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Oxepanone, polymer with 2-ethyl-2-(hydroxymethyl)-1, 3-propanediol</td>
<td>2.4</td>
<td>-</td>
<td>low</td>
</tr>
</tbody>
</table>

Mobility in soil

Soil/water partition coefficient (K<sub>oc</sub>): Not available.

Other adverse effects: No known significant effects or critical hazards.
Section 13. Disposal considerations

Disposal methods: The generation of waste should be avoided or minimized wherever possible. Disposal of this product, solutions and any by-products should at all times comply with the requirements of environmental protection and waste disposal legislation and any regional local authority requirements. Dispose of surplus and non-recyclable products via a licensed waste disposal contractor. Waste should not be disposed of untreated to the sewer unless fully compliant with the requirements of all authorities with jurisdiction. Waste packaging should be recycled. Incineration or landfill should only be considered when recycling is not feasible. This material and its container must be disposed of in a safe way. Empty containers or liners may retain some product residues. Avoid dispersal of spilled material and runoff and contact with soil, waterways, drains and sewers.

Section 14. Transport information

<table>
<thead>
<tr>
<th>DOT Classification</th>
<th>IMDG</th>
<th>IATA</th>
</tr>
</thead>
<tbody>
<tr>
<td>UN proper shipping name</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Transport hazard class(es)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Packing group</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Environmental hazards</td>
<td>No.</td>
<td>No.</td>
</tr>
<tr>
<td>Additional information</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Special precautions for user: Transport within user’s premises: always transport in closed containers that are upright and secure. Ensure that persons transporting the product know what to do in the event of an accident or spillage.

Transport in bulk according to Annex II of MARPOL and the IBC Code: Not available.

Section 15. Regulatory information

U.S. Federal regulations: TSCA 8(a) CDR Exempt/Partial exemption: Not determined

United States inventory (TSCA 8b): All components are listed or exempted.

Clean Air Act Section 112 (b) Hazardous Air Pollutants (HAPs): Not listed

Clean Air Act Section 602 Class I Substances: Not listed

Clean Air Act Section 602 Class II Substances: Not listed

DEA List I Chemicals (Precursor Chemicals): Not listed
## Section 15. Regulatory information

### DEA List II Chemicals (Essential Chemicals)
- Not listed

### SARA 302/304
**Composition/information on ingredients**
- No products were found.

**SARA 304 RQ**
- Not applicable.

**SARA 311/312**
**Classification**
- Not applicable.

**Composition/information on ingredients**
- No products were found.

### State regulations
- **Massachusetts**: None of the components are listed.
- **New York**: None of the components are listed.
- **New Jersey**: None of the components are listed.
- **Pennsylvania**: None of the components are listed.

### International regulations

#### Chemical Weapon Convention List Schedules I, II & III Chemicals
- Not listed.

**Montreal Protocol (Annexes A, B, C, E)**
- Not listed.

**Stockholm Convention on Persistent Organic Pollutants**
- Not listed.

**Rotterdam Convention on Prior Informed Consent (PIC)**
- Not listed.

**UNECE Aarhus Protocol on POPs and Heavy Metals**
- Not listed.

### International lists

#### National inventory

**Australia**: All components are listed or exempted.

**Canada**: All components are listed or exempted.

**China**: All components are listed or exempted.

**Japan**: All components are listed or exempted.
- **Japan inventory (ENCS)**: Not determined.
- **Japan inventory (ISHL)**: Not determined.

**New Zealand**: All components are listed or exempted.

**Philippines**: All components are listed or exempted.

**Republic of Korea**: All components are listed or exempted.

**Taiwan**: All components are listed or exempted.

**United States**: All components are listed or exempted.
Section 16. Other information

Hazardous Material Information System (U.S.A.)

<table>
<thead>
<tr>
<th>Health</th>
<th>0</th>
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</thead>
<tbody>
<tr>
<td>Flammability</td>
<td>0</td>
</tr>
<tr>
<td>Physical hazards</td>
<td>0</td>
</tr>
</tbody>
</table>

Caution: HMIS® ratings are based on a 0-4 rating scale, with 0 representing minimal hazards or risks, and 4 representing significant hazards or risks. Although HMIS® ratings and the associated label are not required on SDSs or products leaving a facility under 29 CFR 1910.1200, the preparer may choose to provide them. HMIS® ratings are to be used with a fully implemented HMIS® program. HMIS® is a registered trademark and service mark of the American Coatings Association, Inc.

The customer is responsible for determining the PPE code for this material. For more information on HMIS® Personal Protective Equipment (PPE) codes, consult the HMIS® Implementation Manual.

National Fire Protection Association (U.S.A.)

| Flammability | 1 |
| Health       | 0 |
| Special      | 0 |
| Instability/Reactivity | 0 |

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Copyright ©2001, National Fire Protection Association, Quincy, MA 02269. This warning system is intended to be interpreted and applied only by properly trained individuals to identify fire, health and reactivity hazards of chemicals. The user is referred to certain limited number of chemicals with recommended classifications in NFPA 49 and NFPA 325, which would be used as a guideline only. Whether the chemicals are classified by NFPA or not, anyone using the 704 systems to classify chemicals does so at their own risk.

History

<table>
<thead>
<tr>
<th>Date of printing</th>
<th>2019-05-13</th>
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<tbody>
<tr>
<td>Date of issue/Date of revision</td>
<td>2019-05-13</td>
</tr>
<tr>
<td>Date of previous issue</td>
<td>No previous validation.</td>
</tr>
<tr>
<td>Version</td>
<td>1</td>
</tr>
</tbody>
</table>

Key to abbreviations

- ATE = Acute Toxicity Estimate
- BCF = Bioconcentration Factor
- GHS = Globally Harmonized System of Classification and Labelling of Chemicals
- IATA = International Air Transport Association
- IBC = Intermediate Bulk Container
- IMDG = International Maritime Dangerous Goods
- LogPow = logarithm of the octanol/water partition coefficient
- UN = United Nations

References

- Not available.

Notice to reader

- Indicates information that has changed from previously issued version.
Section 16. Other information

To the best of our knowledge, the information contained herein is accurate. However, neither the above-named supplier, nor any of its subsidiaries, assumes any liability whatsoever for the accuracy or completeness of the information contained herein.

Final determination of suitability of any material is the sole responsibility of the user. All materials may present unknown hazards and should be used with caution. Although certain hazards are described herein, we cannot guarantee that these are the only hazards that exist.